

U.S. House of Representatives  
Committee on Ethics

LEGISLATIVE RESOURCE CENTER

EMPLOYEE POST-TRAVEL DISCLOSURE FORM

18 APR 17 PM 3: 17

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: David Splinter
2. a. Name of accompanying relative: \_\_\_\_\_ or None ☒  
b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): \_\_\_\_\_
3. a. Dates of departure and return: Departure: 04/05/2018 Return: 04/07/2018  
b. Dates at personal expense (if any): Night of April 6th or None ☐
4. Departure city: Washington, DC Destination: Cambridge, MA Return city: Washington, DC
5. Sponsor(s) (who paid for the trip): National Bureau of Economic Research
6. Describe meetings and events attended: Research papers about tax policy were presented and discussed.
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):  
a. ☒ a completed Sponsor Post-Travel Disclosure Form;  
b. ☒ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;  
c. ☒ page 2 of the completed Traveler Form submitted by the employee; and  
d. ☒ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. (Signify that statement is true by checking box): ☒  
b. If not, explain: \_\_\_\_\_

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF TRAVELER: David Splinter DATE: 04/16/2018

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: Kevin Brady DATE: 4/16/18

SIGNATURE OF SUPERVISING MEMBER: Kevin Brady

10

☒ Original ☐ Amendment

U.S. House of Representatives  
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. *A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.* You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

1. Sponsor(s) (who paid for the trip): National Bureau of Economic Research (NBER)
2. Travel Destination(s): Cambridge, MA
3. Date of Departure: 04/05/2018 Date of Return: 04/07/2018
4. Name(s) of Traveler(s): David Splinter  
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)
5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	\$198.00 airfare + Uber rides	219 plus tax	\$100	
Accompanying Relative				

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box): ☒

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Lita Kimble  
Name: Lita Kimble Title: Conference Coordinator  
Organization: National Bureau of Economic Research (NBER)

I am an officer of the above-named organization (signify statement is true by checking box): ☒

Address: 1050 Massachusetts Avenue Suite 3  
Cambridge, MA 02138  
Telephone number: 617 588-0385  
Email Address: LKimble@nber.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

U.S. House of Representatives  
Committee on Ethics

TRAVELER FORM

RECEIVED  
2018 MAR 29 PM 3:44  
COMMITTEE ON ETHICS

1. Name of Traveler: David Splinter
2. Sponsor(s) (who will be paying for the trip): National Bureau of Economic Research (NBER)
3. Travel destination(s): Cambridge, MA
4. a. Date of departure April 5, 2018 (Thurs.) Date of return: April 7, 2018 (Sat.)  
b. Will you be extending the trip at your personal expense? ☒ Yes ☐ No  
If yes, dates at personal expense: April 7, 2018
5. a. Will you be accompanied by a relative at the sponsor's expense? ☐ Yes ☒ No  
b. If yes:  
(1) Name of accompanying relative: \_\_\_\_\_  
(2) Relationship to traveler: ☐ Spouse ☐ Child ☐ Other (specify): \_\_\_\_\_  
(3) Accompanying relative is at least 18 years of age: ☐ Yes ☐ No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ☒ No  
b. If yes, explain why the second night of lodging is warranted:  
\_\_\_\_\_  
\_\_\_\_\_
7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☒ Yes ☐ No  
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.  
As a staff economist of the Joint Committee on Taxation, I estimate the revenue impacts of proposed changes in legislation. These impacts include behavioral responses, a topic of this conference.
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? ☐ Yes ☒ No

10. FOR STAFF TRAVELERS:

TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date:

3/29/2018

MAR 01 2018

Signature of Employing Member

Kai Bay

**U.S. House of Representatives  
Committee on Ethics**

**PRIMARY TRIP SPONSOR FORM**

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site ([ethics.house.gov](http://ethics.house.gov)) provides detailed instructions for filling out the form.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.**

1. Sponsor (who will be paying for the trip): National Bureau of Economic Research, Inc (NBER)
2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box): ☒
3. Check only one: I represent that:
  - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip ☒ or
  - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds ☐ or
  - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☐  
If "c" is checked, list the names of the additional sponsors: \_\_\_\_\_
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):  
David Splinter was invited because his research was of interest to the conference organizers.
5. Is travel being offered to an accompanying relative of the House invitee(s)? ☐ Yes ☒ No
6. Date of departure: April 5, 2018 Date of return: April 7, 2018
7. a. City of departure: Washington, DC  
b. Destination(s): Cambridge, MA  
c. City of return: Washington, DC
8. I represent that (check one of the following):
  - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ or
  - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☒ or
  - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐
9. Check one of the following:
  - a. I checked 8(a) or (b) above: ☒
  - b. I checked 8(c) above but am not offering any lodging: ☐
  - c. I checked 8(c) above and am offering lodging and meals for one night: ☐ or
  - d. I checked 8(c) above and am offering lodging and meals for two nights: ☐  
If "d" is checked, explain why the second night of lodging is warranted: \_\_\_\_\_



10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box): ☒

11. Check one:

a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): ☐ or

b. N/A – trip sponsor is a U.S. institution of higher education. ☒

12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

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13. Answer parts a and b. Answer part c if necessary.

a. Mode of travel: Air ☒ Rail ☐ Bus ☐ Car ☐ Other ☐ (Specify: \_\_\_\_\_)

b. Class of travel: Coach ☒ Business ☐ First ☐ Charter ☐ Other ☐ (Specify: \_\_\_\_\_)

c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

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14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box): ☒

15. I represent that either (check one of the following):

a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ or

b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☐

If "b" is checked:

1) Detail the cost per day of meals (approximate cost may be provided): \$ 100.00

2) Provide reason for selecting the location of the event or trip: The NBER organization is located in Cambridge, MA. The meeting will be held at the NBER.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: Royal Sonesta Hotel City: Cambridge Cost per night: \$ 219.00

Reason(s) for selecting: \_\_\_\_\_

Hotel name: \_\_\_\_\_ City: \_\_\_\_\_ Cost per night: \_\_\_\_\_

Reason(s) for selecting: \_\_\_\_\_

Hotel name: \_\_\_\_\_ City: \_\_\_\_\_ Cost per night: \_\_\_\_\_

Reason(s) for selecting: \_\_\_\_\_

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box): ☒

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	\$350.00	\$219.00 plus tax	\$100.00
For each accompanying relative			

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		up to \$100.00 for local travel up to \$75.00 for parking
For each accompanying relative		

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

19. Check one:

- a. I certify that I am an officer of the organization listed below. ☐ or  
b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Lita Kimble

Name: Lita Kimble

Title: Conference Coordinator

Organization: National Bureau of Economic Research, Inc

Address: 1050 Massachusetts Avenue, Suite 3 Cambridge, MA 02138

Telephone number: 617-588-0385

Email address: lkimble@nber.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)

Susan W. Brooks, Indiana  
*Chairwoman*  
Theodore E. Deutch, Florida  
*Ranking Member*

Kenny Marchant, Texas  
Leonard Lance, New Jersey  
Mimi Walters, California  
John Ratchiffe, Texas

Yvette D. Clarke, New York  
Jared Polis, Colorado  
Anthony Brown, Maryland  
Steve Cohen, Tennessee



ONE HUNDRED FIFTEENTH CONGRESS

## U.S. House of Representatives

### COMMITTEE ON ETHICS

April 4, 2018

Thomas A. Rust  
*Staff Director and Chief Counsel*

Donna Herbert  
*Director of Administration*

Megan Savage  
*Chief of Staff and Counsel to  
the Chairwoman*

Daniel J. Taylor  
*Counsel to the Ranking Member*

1015 Longworth House Office Building  
Washington, D.C. 20515-6328  
Telephone: (202) 225-7103  
Facsimile: (202) 225-7392

Mr. David G. Splinter  
Joint Committee on Taxation  
502 Ford House Office Building  
Washington, DC 20515

Dear Mr. Splinter:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Cambridge, Massachusetts, scheduled for April 4 to 7, 2018, sponsored by the National Bureau of Economic Research, Inc. We note that this trip includes one day at your personal expense.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Susan W. Brooks  
Chairwoman

Theodore E. Deutch  
Ranking Member

SWB/TED:kej

# Public Economics Program Meeting

Raj Chetty, Amy Finkelstein, and Stefanie Stantcheva, Organizers

April 5-6, 2018

NBER

Feldstein Conference Room, 2nd Floor

1050 Massachusetts Avenue

Cambridge, MA

Thursday, April 5

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- 8:00 am Shuttle bus departs from the Royal Sonesta Hotel for the NBER
- 8:30 am Breakfast
- Morning Session: Empirical Research Using Administrative Tax Data**
- 9:00 am Niels Johannesen, University of Copenhagen  
Patrick Langetieg, Internal Revenue Service  
Daniel Reck, London School of Economics  
Max Risch, University of Michigan  
Joel Slemrod, University of Michigan and NBER  
*Taxing Hidden Wealth: The Consequences of US Enforcement Initiatives on Evasive Foreign Accounts*
- 9:30 am John L. Voorhels, US Census Bureau  
*Air Quality, Human Capital Formation and the Long-term Effects of Environmental Inequality at Birth*
- 10:00 am Break
- 10:10 am Kavan J. Kucko, Boston University  
Kevin Rinz, University of Notre Dame  
Benjamin Solow, Georgetown University  
*Labor Market Effects of the Affordable Care Act: Evidence from a Tax Notch*
- 10:40 am Naomi Feldman, Federal Reserve Board  
Laura Kawano, University of Michigan  
Elena Patel, Department of the Treasury  
Nirupama S. Rao, University of Michigan  
*Do Publicly-Traded Firms Invest Myopically? Evidence from U.S. Tax Data*
- 11:10 am Break
- 11:20 am Jeff Larrimore, Federal Reserve Board  
Jake Mortenson, Joint Committee on Taxation  
David Splinter, Joint Committee on Taxation  
*Household Incomes in Tax Data: Using Addresses to Move from Tax Unit to Household Income Distributions*
- 11:50 am Adam Bee, Bureau of the Census  
Joshua Mitchell, Bureau of the Census  
*Do Older Americans Have More Income than we Think?*
- 12:20 pm Lunch
- 1:10 pm John Guyton, Internal Revenue Service  
Kara Leibel, Internal Revenue Service  
Dayanand S. Manoli, University of Texas at Austin and NBER  
Ankur Patel, Department of the Treasury  
Mark Payne, Internal Revenue Service  
Brenda Schafer, Internal Revenue Service  
*Tax Enforcement and Tax Policy: Evidence on Taxpayers' Responses to EITC Correspondence Audits*



2:00 pm John Beshears, Harvard University and NBER  
James J. Choi, Yale University and NBER  
David Lalbson, Harvard University and NBER  
Brigitte C. Madrian, Harvard University and NBER  
Bill Skimmyhorn, United States Military Academy  
*Borrowing to Save? The Impact of Automatic Enrollment on Debt*

2:50 pm Break

3:20 pm Hunt Allcott, New York University and NBER  
Benjamin Lockwood, University of Pennsylvania and NBER  
Dmitry Taubinsky, University of California at Berkeley and NBER  
*Ramsey Strikes Back: Optimal Commodity Taxes and Redistribution in the Presence of Salience Effects*

4:10 pm Break

4:20 pm Matthew Davis, the University of Pennsylvania  
Fernando V. Ferreira, University of Pennsylvania and NBER  
*Housing Disease and Public School Finances*

5:10 pm Adjourn

5:45 pm Shuttle bus departs from the NBER to the Royal Sonesta Hotel

6:00 pm Dinner  
Royal Sonesta Hotel  
40 Edwin H. Land Boulevard  
Cambridge, MA

#### Friday, April 6

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8:00 am Shuttle bus departs from the Royal Sonesta Hotel for the NBER

8:30 am Breakfast

9:00 am Ugo Trolano, University of Michigan and NBER  
*Do Taxes Increase Economic Inequality? A Comparative Study Based on the State Personal Income Tax*

9:50 am Break

10:00 am Matthew C. Weinzierl, Harvard University and NBER  
*A Welfarist Role for Nonwelfarist Rules*

10:50 am Break

11:10 am Simon Jaeger, Massachusetts Institute of Technology and NBER  
Benjamin Schoefer, University of California at Berkeley  
Josef Zweimueller, University of Zurich  
*Marginal Jobs and Job Surplus: Evidence from Separations and Unemployment Insurance*

12:00 pm Lunch and Adjourn

#### Participant List

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HUNT ALLCOTT	NEW YORK UNIVERSITY AND NBER
ADAM BEE	BUREAU OF THE CENSUS
YOUSSEF BENZARTI	UNIVERSITY OF CALIFORNIA AT LOS ANGELES AND NBER
JOHN BESHEARS	HARVARD UNIVERSITY AND NBER
MICHAEL C. BEST	COLUMBIA UNIVERSITY
RAJ CHETTY	STANFORD UNIVERSITY AND NBER
NADA EISSA	GEORGETOWN UNIVERSITY AND NBER
GARY V. ENGELHARDT	SYRACUSE UNIVERSITY
NAOMI FELDMAN	FEDERAL RESERVE BOARD
FERNANDO V. FERREIRA	UNIVERSITY OF PENNSYLVANIA AND NBER
AMY FINKELSTEIN	MASSACHUSETTS INSTITUTE OF TECHNOLOGY AND NBER
JOHN N. FRIEDMAN	BROWN UNIVERSITY AND NBER
FRANÇOIS GERARD	COLUMBIA UNIVERSITY AND NBER

JOHN GUYTON  
TATIANA HOMONOFF  
NIELS JOHANNESSEN  
LOUIS KAPLOW  
AMANDA E. KOWALSKI  
LORENZ KUENG  
DAYANAND S. MANOLI  
JOSHUA MITCHELL  
JAKE MORTENSON  
ELENA PATEL  
DINA POMERANZ  
JAMES M. POTERBA  
DANIEL RECK  
MAX RISCH  
BENJAMIN SCHOEFER  
MARK SHEPARD  
BENJAMIN SOLOW  
DAVID SPLINTER  
MATTHEW TURNER  
JOHN L. VOORHEIS

INTERNAL REVENUE SERVICE  
NEW YORK UNIVERSITY  
UNIVERSITY OF COPENHAGEN  
HARVARD UNIVERSITY AND NBER  
YALE UNIVERSITY AND NBER  
NORTHWESTERN UNIVERSITY AND NBER  
UNIVERSITY OF TEXAS AT AUSTIN AND NBER  
BUREAU OF THE CENSUS  
JOINT COMMITTEE ON TAXATION  
DEPARTMENT OF THE TREASURY  
UNIVERSITY OF ZURICH  
MASSACHUSETTS INSTITUTE OF TECHNOLOGY AND NBER  
LONDON SCHOOL OF ECONOMICS  
UNIVERSITY OF MICHIGAN  
UNIVERSITY OF CALIFORNIA AT BERKELEY  
HARVARD UNIVERSITY AND NBER  
GEORGETOWN UNIVERSITY  
JOINT COMMITTEE ON TAXATION  
BROWN UNIVERSITY AND NBER  
US CENSUS BUREAU